

Docket No.: 63979-042

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277

Takashi OHTSUKA, et al. : Confirmation Number:

Serial No.: : Group Art Unit:

Filed: April 22, 2004 : Examiner:

For: A NON-VOLATILE MEMORY CELL AND A METHOD OF CONTROLLING THE  
SAME

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Each non-English language reference was first cited in a corresponding foreign application search report or office action and its relevance discussed therein. A copy of the

**Serial No.:**

foreign search report or office action, together with an English language version thereof, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: April 22, 2004**

INFORMATION DISCLOSURE CITATION IN AN APPLICATION				ATTY. DOCKET NO. <b>63979-042</b>		SERIAL NO.	
(PTO-1449)				APPLICANT <b>Takashi OHTSUKA, et al.</b>			
				FILING DATE <b>April 22, 2004</b>		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sub>2</sub> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US	5,751,627	05/12/1998	Ooishi		
		US	6,240,013 B1	05/29/2001	Nishimura		
		US	6,285,575 B1	09/04/2001	Miwa		
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FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number 4 -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
	A2	0 530 928	03/10/1993	RAMTRON CORPORATION	Corresponds to Publication JP 64-66899 below		
		64-66899	03/13/1989	RAMTRON CORP		Japanese (w/ English Abstract)	
		08-264728	10/11/1996	NEC CORP		Japanese (w/ English Abstract)	
	A	JP 08-180672	07/12/1996				
	A	JP 2000-331482	11/30/2000				
	A	JP 64-66899	03/13/1989				
	A	JP 2000-293989	10/20/2000				
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.